

Risk and Audit Committee Meeting Minutes

Date:	Wednesday, 23 September, 2020
Time:	1:00 p.m.
Location:	Council Chamber Forum North, Rust Avenue Whangarei
In Attendance	John Isles (Chairperson) Her Worship the Mayor Sheryl Mai Cr Vince Cocurullo Cr Nicholas Connop Cr Ken Couper Cr Tricia Cutforth Cr Shelley Deeming Cr Jayne Golightly Cr Phil Halse Cr Greg Innes Cr Greg Martin Cr Anna Murphy Cr Carol Peters Cr Simon Reid
Not In Attendance	Cr Gavin Benney
Also present	Carl Wessels (Audit New Zealand) Gerhard van der Berg (Audit New Zealand)
Scribe	C Brindle (Senior Democracy Adviser)

1. **Declarations of Interest**

There were no declarations of interest made.

2. **Apology**

Cr Gavin Benney

Moved By John Isles

Seconded By Greg Martin

That the apology be sustained.

Carried

3. Confirmation of Minutes of Previous Risk and Audit Committee Meeting

3.1 Minutes Risk and Audit Committee Meeting held 24 June 2020

Moved By Cr Ken Couper

Seconded By Vince Cocurullo

That the minutes of the Risk and Audit Committee meeting held on Wednesday 24 June 2020, having been circulated, be taken as read and now confirmed and adopted as a true and correct record of proceedings of that meeting.

Carried

4. Information Reports

4.1 Financial Management Activity Update Report - September 2020

Moved By Cr Shelley Deeming

Seconded By Cr Ken Couper

That the Risk and Audit Committee notes the financial management activity in relation to risk and audit matters.

Carried

Cr Murphy was absent from the meeting from 1.18pm to 1.24pm during discussion on Item 4.1.

4.2 Audit NZ - Verbal Report September 2020

Carl Wessels provided a verbal update on audit related matters and answered questions from Councillors.

Moved By Cr Shelley Deeming

Seconded By Greg Martin

That the Audit and Risk Committee notes the report from the external auditors.

Carried

Cr Halse requested his vote against be recorded.

4.3 Health and Safety Report September 2020

Moved By Her Worship the Mayor

Seconded By Cr Greg Innes

That the Risk and Audit Committee notes the health and safety report.

Carried

Secretarial Note: General consensus that the way the accident statistics are reported should remain unchanged.

4.4 Legislative Compliance – January to June 2020

Moved By Cr Shelley Deeming

Seconded By Cr Carol Peters

That the Risk and Audit Committee note the report.

Carried

Cr Peters left the meeting at 1.49pm following Item 4.4.

4.5 Internal Audit – Outstanding Actions Report

Moved By Vince Cocurullo

Seconded By Cr Nicholas Connop

That the Risk and Audit Committee notes the status of the outstanding internal audit actions.

Carried

4.6 September 2020 Risk Report

Moved By Cr Ken Couper

Seconded By Vince Cocurullo

That the Risk and Audit Committee notes the report.

Carried

4.7 Risk Policy Update 2020

Moved By Vince Cocurullo

Seconded By Cr Shelley Deeming

That the Risk and Audit Committee

1. Agree to the newly created Risk Management Policy and recommend for this to be put to Council for adoption.
2. Note the updated Risk Management Framework.

Carried

5. Public Excluded Business

Moved By Cr Shelley Deeming

Seconded By Cr Greg Innes

That the public be excluded from the following parts of proceedings of this meeting. The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under Section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered	Reason for passing this resolution in relation to each matter	Ground(s) under Section 48(1) for passing this resolution

1.1	ICT Risk Report – September 2020	Good reason to withhold information exists under Section 7 Local Government Official Information and Meetings Act 1987	Section 48(1)(a)
-----	----------------------------------	--	------------------

This resolution is made in reliance on Section 48(1)(a) of the Local Government Official Information and Meetings Act 1987 and the particular interest or interests protected by Section 6 or Section 7 of that Act which would be prejudiced by the holding of the whole or the relevant part of the proceedings of the meeting in public, are as follows:

Item	Grounds	Section
1.1	To prevent the disclosure or use of official information for improper gain or improper advantage	Section 7(2)(i)

Carried

6. Closure of Meeting

The meeting concluded at 2.27pm.

Confirmed this 9th day of December 2020

John Isles (Independent Chairperson)